

TREASURER'S 3rd. Qtr. 2020 FINANCIAL REPORT

THE STATE OF TEXAS  
COUNTY OF HOCKLEY  
AFFIDAVIT

The Treasurer's Quarterly Report includes, but is not limited to, money received and disbursed; debts due to (if known) and owed By the county; and all other proceedings in the treasurer's office that pertain to the Financial Standing of Hockley County. {LGC 114.026(a)(b)}

The Treasurer's Books and the Auditor's General Ledger agree. The Bank Statements have been reconciled; any adjustments Have been noted.

The affidavit must state the amount of the cash and other assets that are in the custody of the county treasurer at the time of The examination. {LGC 114.026 (d)} \$21,239,078.27 Quarter's Ending Balance

Any interest earned that is posted by financial institutions to our accounts on the last business day of the month is included In the combined statement of receipts and disbursements. \$61,409.24 Quarter's Interest Earned

The Treasurer's Quarterly Report has been submitted and the Bank Reconciliation is pending review by Auditor. {LGC 114.026(b)}

All investments are in compliance with both the Public Funds Investment Act and the Hockley County Investment Policy. The investment strategy is passive, which maintains a liquid cash flow and safety of the investment as priority. {LGC 2256.023}

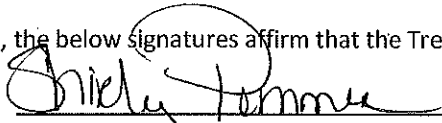
Therefore, Denise Bohannon, County Treasurer of Hockley County, Texas, who being fully sworn, upon oath says that the within And foregoing report is true and correct to the best of her knowledge.

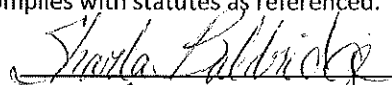
Filed with accompanying vouchers this the \_\_\_\_ day of \_\_\_\_, 20\_\_.

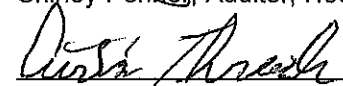
  
Denise Bohannon, Treasurer, Hockley County

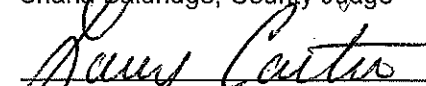
Commissioners' Court having reviewed the Treasurer's Report as presented, having taken reasonable steps to ensure its accuracy and based upon presentations of the Treasurer's Office approve the report, subject to the independent auditor's Review and request that it be filed with the official minutes of this meeting. {LGC 114.026(c)}

In addition, the below signatures affirm that the Treasurer's Report complies with statutes as referenced. {LGC 114.026(d)}

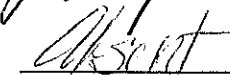
  
Shirley Penner, Auditor, Hockley County / Date

  
Sharla Baldridge, County Judge

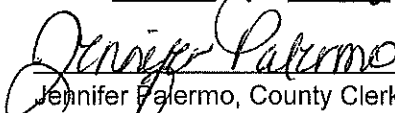
  
Curtis Thrash, Comm. Pct. #1

  
Larry Carter, Comm. Pct. #2

  
Whitey Barnett, Comm. Pct. #3

  
Tommy Clevenger, Comm. Pct. #4

Sworn to & Subscribed to Before Me, by the County Treasurer, the Auditor & Commissioners Court on this 30 day of November 2020.

  
Jennifer Palermo, County Clerk

**Treasurer's Financial Report**  
*Prepared by Denise Bohannon, Hockley County Treasurer*

**SECTION 1 – Cash Flow**

**Pages 1-5** Combined Statement of Cash Receipts and Disbursements  
Includes Interest and Bank Service Charge

**Pages 6-9** Bank Collateral  
Pledged Securities the Banks have pledged on behalf of Hockley County

**Page 10** Certificates of Deposit

**SECTION 2 – Investments Long Term**

Per the Public Funds Investment Act and the Hockley County Investment Policies, the Investments Report is required on a Quarterly Basis. However, in an effort to keep the Commissioners' Court informed *available* Information is provided on a Monthly basis.

All investments are in compliance with both the Public Funds Investment Act and the Hockley County Investment Policy. The investment strategy is passive, which maintains a liquid cash flow and safety of The investment as priorities. {GC 2256.023}

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Investments – Funds are not immediately available – must wait until maturity

DATE 11/17/2020 12:56

COMBINED STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS FROM JULY TO SEPTEMBER GEL103 PAGE

ACCOUNT NAME	BEGINNING CASH BALANCE	CASH RECEIPTS	CASH DISBURSEMENTS	ENDING CASH BALANCE
2020 096 CA/DA PRE-TRIAL DIVERSION FUND	120,597.82	3,030.02	.00	123,627.84
CASH FUND TOTALS	120,597.82	3,030.02	.00	123,627.84
2020 098 CLEARING FUND	.09	3,277,278.17	3,277,278.17	.09
CASH FUND TOTALS	.09	3,277,278.17	3,277,278.17	.09
GRAND TOTALS	23,259,762.42	10,974,134.81	12,994,818.96	21,239,078.27

ACCOUNT NAME	BEGINNING CASH BALANCE	CASH RECEIPTS	CASH DISBURSEMENTS	ENDING CASH BALANCE
2020 082 DA FORFEITURE FUND CASH FUND TOTALS	24,199.88 24,199.88	1,044.04 1,044.04	5,000.00- 5,000.00-	20,243.92 20,243.92
2020 083 CA THEFT OF SERVICE CASH FUND TOTALS	5,660.67 5,660.67	1,039.04 1,039.04	1,024.77- 1,024.77-	5,674.94 5,674.94
2020 084 SHERIFF WORK RELEASE PROGRAM CASH FUND TOTALS	2,007.53 2,007.53	5.13 5.13	.00 .00	2,012.66 2,012.66
2020 085 HOCKLEY CO GRANTS FUND CASH FUND TOTALS	96,994.60 96,994.60	122,426.11 122,426.11	146,935.68- 146,935.68-	72,485.03 72,485.03
2020 086 CORONAVIRUS RELIEF FUND GRANT CASH FUND TOTALS	.00 .00	62,795.59 62,795.59	.00 .00	62,795.59 62,795.59
2020 087 HC JUVENILE PROBATION FEES CASH/AIM FUND TOTALS	15,755.10 15,755.10	351.09 351.09	.00 .00	16,106.19 16,106.19
2020 088 PAYROLL CLEARING ACCOUNT CASH/ASB FUND TOTALS	157,978.02 157,978.02	916,449.98 916,449.98	1,070,501.87- 1,070,501.87-	3,926.13 3,926.13
2020 089 SEIZURE PROCEEDS FUND CASH/ASB FUND TOTALS	28,608.73 28,608.73	135,205.54 135,205.54	10,874.65- 10,874.65-	152,939.62 152,939.62
2020 090 JUVENILE PROBATION FUND CASH/AIM ACCOUNTS RECEIVABLE FUND TOTALS	54,354.69 97.75- 54,256.94	56,883.55 .00 56,883.55	51,882.11- .00 51,882.11-	59,356.13 97.75- 59,258.38
2020 091 JUVENILE PROBATION RESTITUTION CASH FUND TOTALS	52,647.12 52,647.12	135.29 135.29	.00 .00	52,782.41 52,782.41
2020 092 HOCKLEY COUNTY COMMUNITY SUPER CASH/ASB FUND TOTALS	66,520.89 66,520.89	89,524.02 89,524.02	96,430.99- 96,430.99-	59,613.92 59,613.92
2020 093 HOCKLEY COUNTY MEDICAL FUND CASH/ASB FUND TOTALS	2,871.81 2,871.81	7.06 7.06	240.00- 240.00-	2,638.87 2,638.87
2020 094 COUNTY ATTORNEY RESTITUTION CASH/ASB FUND TOTALS	34,189.56 34,189.56	437.97 437.97	395.33- 395.33-	34,232.20 34,232.20
2020 095 D A RESTITUTION FUND CASH/ASB FUND TOTALS	3,210.32 3,210.32	8.23 8.23	.00 .00	3,218.55 3,218.55

ACCOUNT NAME FUND TOTALS	BEGINNING CASH BALANCE	CASH RECEIPTS	CASH DISBURSEMENTS	ENDING CASH BALANCE
2020 054 JUSTICE OF PEACE #4 CASH FUND TOTALS	4,805.65 4,805.65	11,686.30 11,686.30	10,267.60 10,267.60	6,224.35 6,224.35
2020 055 JUSTICE OF PEACE #5 CASH FUND TOTALS	12,484.24 12,484.24	51,491.78 51,491.78	50,190.78 50,190.78	13,785.24 13,785.24
2020 056 SHERIFF FEE ACCOUNT CASH FUND TOTALS	1.52 1.52	.00 .00	.00 .00	1.52 1.52
2020 057 SO DONATIONS FUND CASH/ASB FUND TOTALS	2,617.16 2,617.16	2,507.28 2,507.28	3,344.06 3,344.06	1,780.38 1,780.38
2020 060 I&S FUND: '88 HOSPITAL BOND CASH/ASB TODA - CD BALANCE FUND TOTALS	1,949.22 37,728.82 39,677.91	72.97 37.14 110.11	.00 .00 .00	2,022.19 37,765.83 39,788.02
2020 065 MPEC INTEREST & SINKING FUND CASH BUSINESS ELITE SAVINGS ACCT TDOA - INVESTMENT BALANCE FUND TOTALS	.00 71,975.91 71,975.91	.00 697.13 697.13	.00 .00 .00	.00 72,673.04 72,673.04
2020 070 PERMANENT IMPROVEMENT FUND CASH/ASB FUND TOTALS	1,321,682.43 1,321,682.43	5,009.86 5,009.86	.00 .00	1,326,692.29 1,326,692.29
2020 071 HOCKLEY CO ROAD BOND FUND CASH/AIM TDOA/ASB FUND TOTALS	22,843.20 00 22,843.20	58.69 .00 58.69	.00 .00 .00	22,901.89 00 22,901.89
2020 072 MALLETT OPERATING FUND CASH/AIM FUND TOTALS	315,137.70 315,137.70	145,858.48 145,858.48	184,714.30 184,714.30	276,281.88 276,281.88
2020 078 HAVA GRANTS CASH FUND TOTALS	20,900.00 20,900.00	120,000.00 120,000.00	.00 .00	140,900.00 140,900.00
2020 079 DA FEDERAL FORFEITED FUNDS CASH FUND TOTALS	22,806.26 22,806.26	2,557.05 2,557.05	2,500.00 2,500.00	22,863.31 22,863.31
2020 080 FM & LR FUND CASH/AIM FUND TOTALS	4,836.89 4,836.89	12.41 12.41	.00 .00	4,849.30 4,849.30
2020 081 DA TRUST ACCOUNT CASH/AIM FUND TOTALS	8,855.54 8,855.54	6,875.00 6,875.00	3,500.00 3,500.00	12,230.54 12,230.54

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COMBINED STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS FROM JULY TO SEPTEMBER GHI103 PAGE 2

ACCOUNT NAME AUDIT CASH ON HAND FUND TOTALS	BEGINNING CASH BALANCE	CASH RECEIPTS	CASH DISBURSEMENTS	ENDING CASH BALANCE
2020 030 LAW LIBRARY FUND CASH/AIM FUND TOTALS	11,668.13	8,260.11	17,238.22	2,690.02
2020 035 LIBRARY FUND CASH/AIM FUND TOTALS	11,594.55	638.32	1,710.41	10,522.46
2020 039 DISTRICT CLERK PRESERVATION CASH/AIM FUND TOTALS	152,663.40	1,826.09	40,828.83	113,660.66
2020 040 COUNTY CLERK PRESERVATION FUND CASH/AIM TDOA - CD BALANCE - ASB FUND TOTALS	16,630.18	439.95	.00	17,070.13
2020 041 RECORDS MANAGEMENT OFFICER CASH/AIM FUND TOTALS	97,957.42	22,212.74	.00	120,170.16
2020 042 R&B EXTRA FEE ACCOUNT CASH/ASB FUND TOTALS	24,607.20	964.73	510.72	25,061.21
2020 043 COURTHOUSE SECURITY FUND CASH/AIM FUND TOTALS	169,623.84	55,905.07	.00	225,528.91
2020 044 JUSTICE COURT TECHNOLOGY FUND CASH FUND TOTALS	67,175.08	2,152.89	5,477.74	63,850.23
2020 045 SHERIFF CASH BOND ACCOUNT CASH FUND TOTALS	34,914.83	1,124.29	3,731.82	32,307.30
2020 046 COUNTY CLERK CASH BOND ACCT CASH FUND TOTALS	91,234.42	5,812.50	3,987.50	93,059.42
2020 047 JPS CASH BOND ACCOUNT CASH FUND TOTALS	55,897.02	.00	.00	55,897.02
2020 048 COUNTY CLERK CASH FUND TOTALS	6,084.71	280.00	280.00	6,084.71
2020 051 JUSTICE OF PEACE #1 CASH FUND TOTALS	25,120.59	75,677.28	75,923.41	24,874.46
2020 052 JUSTICE OF PEACE #2 CASH	5,586.90	23,663.39	20,922.90	8,327.39
	987.46	2,453.40	1,866.60	1,574.26

ACCOUNT NAME	BEGINNING CASH BALANCE	CASH RECEIPTS	CASH DISBURSEMENTS	ENDING CASH BALANCE
2020 010 GENERAL FUND CASH/ATM AUDIT CASH ON HAND TDOA/CD/ASB FUND TOTALS	2,304,982.18 .00 .00 2,304,982.18	2,847,692.67 .00 2,847,692.67	2,712,556.68 .00 2,712,556.68	2,440,118.17 .00 2,440,118.17
2020 011 AD VALOREM TAX ACCOUNT CASH/ATM CASH/TO AD VAL EXCESS CASH/BE SAVINGS TDOA - CD/ATM BANK TDOA - CD/PLAI FUND TOTALS	207,107.14 11,909,405.22 .00 4,242,496.53 16,359,008.89	522.46 195,870.41 .00 16,061.38 212,454.25	3,239,944.00 .00 .00 .00 3,239,944.00	207,629.60 8,865,331.63 .00 4,258,557.91 13,331,519.14
2020 012 OFFICERS SALARY FUND CASH/ATM AUDIT CASH ON HAND FUND TOTALS	141,733.88 141,733.88	1,673,033.47 1,673,033.47	1,201,783.63 1,201,783.63	329,515.96 329,515.96
2020 013 AUTO REGISTRATION FUND CASH/ATM FUND TOTALS	400,839.47 400,839.47	1,030.20 1,030.20	.00 .00	401,869.67 401,869.67
2020 014 INDIGENT HEALTH CARE FUND CASH/ATM FUND TOTALS	81,027.67 81,027.67	209,171.30 209,171.30	92,221.98 92,221.98	197,976.99 197,976.99
2020 016 HOCKLEY COUNTY: LEOSE FUND CASH/ATM FUND TOTALS	29,832.26 29,832.26	76.65 76.65	.00 .00	29,908.91 29,908.91
2020 017 JURY FUND CASH/ATM AUDIT CASH ON HAND FUND TOTALS	145,829.02 145,829.02	209,838.31 209,838.31	92,419.34 92,419.34	263,247.99 263,247.99
2020 021 ROAD & BRIDGE #1 CASH/ATM CASH/LAT1/ATM FUND TOTALS	55,192.92 75,953.88 131,146.80	135,186.59 .00 135,186.59	142,992.19 .00 142,992.19	47,387.32 75,953.88 123,341.20
2020 022 ROAD & BRIDGE #2 CASH/ATM CASH/LATRD2/ATM FUND TOTALS	46,504.81 129,721.64 83,216.83	362,528.80 .00 362,528.80	179,831.36 .00 179,831.36	136,192.63 129,721.64 265,914.27
2020 023 ROAD & BRIDGE #3 CASH/ASB CASH/LATRD3 FUND TOTALS	332,425.35 90,508.56 422,933.91	2,166.33 2,166.33	125,203.76 125,203.76	209,387.92 90,508.56 299,896.48
2020 024 ROAD & BRIDGE #4 CASH/ASB CASH/LATRD4 FUND TOTALS	81,754.89 75,053.16 156,810.05	106,060.56 106,060.56	120,307.56 120,307.56	67,507.89 75,053.16 142,563.05
2020 025 ROAD & BRIDGE #5 CASH/ATM	11,668.13	8,260.11	17,238.22	2,690.02

# Pledge Inventory Report

Aimbank  
Littlefield, TX  
FROM 8/1/2020 TO 8/31/2020

Customer ID: 33584  
Report Date: 8/25/2020  
PAS Rep: Tracy Kwiatkowski  
Account Rep: Scott Adams

Cusj/p Ticket	Description Location Code/Name	Maturity/Refunded Dt Group	Intent Coupon	S&P Moody	Market Price Dt Maturity (Yr)	Original Face Par	Book Value Market Value
Pledged: HAA1118 - HOCKLEY COUNTY							
3136AXZB4 1167397	FNMM17061 NB TIB - The Independent Bankers Bank	11/25/2045 CMO	HTM 3.00000	NA N+	8/24/2020 25.25	5,000,000.00 3,592,961.95	3,551,087.12 3,737,730.04
3137FNGF1 1207352	FHL4910 DA TIB - The Independent Bankers Bank	03/15/2049 CMO	AFS 3.00000	NA N+	8/24/2020 28.56	5,000,000.00 4,671,018.75	4,776,505.94 4,923,837.55
743600G28 1226169	PROSPER TEX INDPT SC TIB - The Independent Bankers Bank	02/15/2049 MUN	AFS 5.00000	Aaa	8/24/2020 28.48	5,000,000.00 5,000,000.00	5,968,323.13 6,379,200.00
882854Q70 1227893	TEXAS WATER DEV BRD TIB - The Independent Bankers Bank	04/15/2049 MUN	AFS 5.00000	AAA	8/24/2020 28.84	10,000,000.00 10,000,000.00	11,126,839.36 12,662,000.30
4	Total Pledged: HAA1118 - HOCKLEY COUNTY					25,000,000.00 23,263,980.70	25,422,755.55 27,702,767.89

This report reflects information submitted to us by the customer. It is not intended to be used as the official record of safekeeping location and/or pledged holdings. This information should be provided by the customer's safekeeper.



# Pledge Inventory Report

Aimbank  
 Littlefield, TX  
 FROM 9/1/2020 TO 9/30/2020

Customer ID: 33584  
 Report Date: 9/30/2020  
 PAS Rep: Tracy Kwiatkowski  
 Account Rep: Scott Adams

Cusip Ticket	Description Location Code/Name	Maturity/Refunded Dt Group	Intent Coupon	S&P Moody	Market Price Dt Maturity (Yr)	Original Face Par	Book Value Market Value
Pledged: HAA1118 - HOCKLEY COUNTY							
3136AXZB4	FNM17061 NB	11/25/2045	HTM	NA	9/23/2020	5,000,000.00	3,509,220.15
1167397	TIB - The Independent Bankers Bank	CMO	3.00000	Nr	25.17	3,549,903.30	3,693,574.28
3137FNGF1	FHL4910 DA	03/15/2049	AFS	NA	9/23/2020	5,000,000.00	4,737,386.38
1207352	TIB - The Independent Bankers Bank	CMO	3.00000	Nr	28.47	4,635,240.40	4,888,640.28
743600G28	PROSPER TEX INDPT SC	02/15/2049	AFS	Aaa	9/23/2020	5,000,000.00	5,959,925.48
1226169	TIB - The Independent Bankers Bank	MUN	5.00000	Aaa	28.40	5,000,000.00	6,317,250.05
882854Q70	TEXAS WATER DEV BRD	04/15/2049	AFS	AAA	9/23/2020	10,000,000.00	14,117,335.72
1227893	TIB - The Independent Bankers Bank	MUN	5.00000	AAA	28.56	10,000,000.00	12,723,799.90
Total Pledged: HAA1118 - HOCKLEY COUNTY						25,000,000.00	25,323,867.73
						23,185,143.70	27,623,264.51

This report reflects information submitted to us by the customer. It is not intended to be used as the official record of safekeeping location and/or pledged holdings. This information should be provided by the customer's safekeeper.

# Pledge Inventory Report

Ambank  
 Littlefield, TX  
 FROM 7/1/2020 TO 7/31/2020

Customer ID: 33584  
 Report Date: 7/29/2020  
 PAS Rep: Tracy Kwiatkowski  
 Account Rep: Scott Adams

Cusip Ticket	Description Location Code/Name	Maturity/Refunded Dt Group	Intent Coupon	S&P Moody	Market Price Dt Maturity (Yr)	Original Face Par	Book Value Market Value
Pledged: HAA1118 - HOCKLEY COUNTY							
3136AXZB4	FNM17061 NB	11/25/2045	HTM	NA	7/24/2020	5,000,000.00	3,593,333.32
1467397	TIB - The Independent Bankers Bank	CMO	3.00000	Nr	25.34	3,636,276.85	3,785,637.39
3137FNGF1	FHL4910 DA	03/15/2049	AFS	NA	7/24/2020	5,000,000.00	4,814,233.66
1207352	TIB - The Independent Bankers Bank	CMO	3.00000	Nr	28.64	4,705,562.05	4,923,370.94
743600G28	PROSPER TEX INDPT SC	02/15/2049	AFS	Aaa	7/24/2020	5,000,000.00	5,976,916.25
1226169	TIB - The Independent Bankers Bank	MUN	5.00000		28.56	5,000,000.00	6,396,250.15
882854Q70	TEXAS WATER DEV BRD	04/15/2049	AFS	AAA	7/24/2020	10,000,000.00	11,136,758.54
1227893	TIB - The Independent Bankers Bank	MUN	5.00000		28.73	10,000,000.00	12,740,200.00
Total Pledged: HAA1118 - HOCKLEY COUNTY						25,000,000.00	25,521,241.77
						23,341,838.90	27,845,458.48

This report reflects information submitted to us by the customer. It is not intended to be used as the official record of safekeeping location and/or pledged holdings. This information should be provided by the customer's safekeeper.

Treasurer's Financial Report  
 Prepared by Denise Bohannon, Hockley County Treasurer

**CDS**

3rd Qtr	Purchase Date	Account	Bank	Beginning Amount	Maturity Date	APR	Interest Paid	Month's Interest Earned	Current Balance
July	2011-11-28	060-I&S Hosp. Bd.	Aim	\$36,481.21	11/28/20	1.10%	Monthly	\$35.87	\$39,713.78
August	2011-11-28	060-I&S Hosp. Bd.	Aim	\$36,481.21	11/28/20	1.10%	Monthly	\$37.10	\$39,750.88
Sept	2011-11-28	060-I&S Hosp. Bd.	Aim	\$36,481.21	11/28/20	1.10%	Monthly	\$37.14	\$39,788.02
July	2017-02-14	011-AdvValorem	Aim	4,000,000.00	2/14/21	1.50%	Monthly	\$5,230.82	\$4,248,001.50
August	2017-02-14	011-AdvValorem	Aim	4,000,000.00	2/14/21	1.50%	Monthly	\$5,411.83	\$4,253,413.33
Sept	2017-02-14	011-AdvValorem	Aim	4,000,000.00	2/14/21	1.50%	Monthly	\$5,418.73	\$4,258,832.06
Quarter				Investment	Totals				
						\$16,171.49			

Motion by Commissioner Thrash, second by Commissioner Barnett, 3 Votes Yes, 0 Votes No, that Commissioners Court approved the 3<sup>rd</sup> Quarter 2020 Financial Report of the Hockley County Treasurer. As per Financial report recorded below.